PTO/SB/01A (10-00)

Attorney Docket No.: 023070-117500US

SF 1252047.v1

Client Ref. No.: UC Case No.: 2001-355

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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As the below named inventor	As the below named inventor(s), I/we declare that:				
This declaration is directed	to:		·		
	The attached application,	or	j		
. 🛛	Application No. 09/819,14	Application No. <u>09/819,148</u> , filed on <u>03/27/2001</u> ,			
	as amended on	_ (if applicable	e);		
I/we believe that I/we am/ar	e the original and first invento;	or(s) of the sul	bject matter which is claimed and		
I/we have reviewed and u	inderstand the contents of amendment specifically refer	the above-ide rred to above;	ntified application, including the		
known to me/us to be mate	I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and				
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.					
FULL NAME OF INVENTOR(S	\$)		<i>l</i> i		
Inventor one Wen-Lin Kingsignature:	e fuo	Date:	0/2 /0 / U.S.A.		
Inventor two Daniel Po	blikoff	Date: Citizen of:	U.S.A.		
Inventor three <u>Daniel P</u> Signature:	nkel	Date: Citizen of:	U.S.A.		
Inventor fourDonna A	lbertson	Date: Citizen of:	U.S.A.		
Inventor five Andy Be	chuk	Date: Citizen of:	U.S.A.		
Inventor sixJoe Gray Signature:		Date: Citizen of:	U.S.A.		

Attorney Docket No.: 023070-17500US Client Ref. No.: UC Case No.: 2001-355

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As the below named inventor(s), I/we declare that:					
This declaration is directed	to:				
	The attached application	The attached application, or			
	Application No. 09/819	<u>,148,</u> filed on <u>03/</u> 2	<u>27/2001,</u>		
	as amended on	(if applicable	);		
I/we believe that I/we am/a for which a patent is sough	re the original and first invent;	entor(s) of the sub	ject matter which is claimed and		
I/we have reviewed and claims, as amended by an	understand the contents or y amendment specifically re	of the above-ider ferred to above;	ntified application, including the		
known to me/us to be mat	erial to natentability as detir	ned in 37 CFR 1.5 the prior applic	Trademark Office all information 66, including material information ation and the National or PCT ble; and		
information and belief are	All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.				
FULL NAME OF INVENTOR(	S)				
	(uo	Date: Citizen of:	U.S.A.		
Inventor twoDaniel P Signature:	olikoff	Date: Citizen of:	U.S.A.		
Inventor three Daniel F	Pinkel Indis	Date:	Ing 23,2001 U.S.A.		
Inventor four	Albertson	Date: Citizen of:	U.S.A.		
Inventor fiveAndy Be Signature:	erchuk	Date: Citizen of:	IISA		
		— Oluzen oi.	-		

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As the below named inventor(s), I/we declare that:				
This declaration is dire	ected to:			
		The attached application, or		
•	$\boxtimes$	Application No. 09/819,148, filed on 03/27/2001,		
		as amended on	(if applicable	e);
I/we believe that I/we for which a patent is s	am/are t ought;	he original and first invento	r(s) of the sul	bject matter which is claimed and
I/we have reviewed claims, as amended b	and und	lerstand the contents of the nendment specifically reference.	ne above-ide red to above;	ntified application, including the
known to me/us to be	materia	I to natentability as defined	in 37 CFR 1. e prior applic	Trademark Office all information 56, including material information cation and the National or PCT ble; and
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.				
FULL NAME OF INVENT	OR(S)			
	Lin Kuo		_Date: Citizen of:	U.S.A.
		off	Date: Citizen of:	U.S.A.
Inventor three <u>Dar</u> Signature:	niel Pink	el	Date: Citizen of:	
Inventor fourDoi	nna Albe	ertson Wherten	Date:	Aug. 2001 U.S.A.
Signature:	dy Berch	uk	Date: Citizen of:	U.S.A.

Attorney Docket No.: 023070-11/500US

As the below named inventor(s), I/we declare that:

Client Ref. No.: UC Case No.: 2001-355

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This declaration is directed to:				
		The attached applic	ation, or	j
	$\boxtimes$	Application No. 09/8	319,148, filed on <u>03</u>	<u>/27/2001</u> ,
		as amended on	(if applicable	9);
I/we believe that I/v for which a patent	we am/are t is sought;	he original and first in	nventor(s) of the su	bject matter which is claimed and
I/we have reviewe claims, as amende	ed and und ed by any ar	lerstand the content nendment specifically	s of the above-ide referred to above;	ntified application, including the
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and  All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.				
under 10 d.c.c.				
FULL NAME OF INVE	NTOR(S)			
Inventor one <u>W</u> Signature:	en-Lin Kuo		Date: Citizen of:	U.S.A.
Inventor twoI Signature:		off	Date: Citizen of:	U.S.A.
Inventor three Signature:	Daniel Pink	el	<b>A</b> /	U.S.A.
Inventor four Signature:	Donna Albe	ertson	Date: Citizen of:	U.S.A.
Inventor five	Andy Berch	yk MM	Date: Citizen of:	8 17 10 1 U.S.A.
Inventor six	loe Gray		Date: Citizen of:	U.S.A.
SF 1252047 v1				

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## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

As the below named inventor(s), I/we declare that: This declaration is directed to: The attached application, or Application No. 09/819,148, filed on 03/27/2001,  $\boxtimes$ as amended on \_\_\_\_\_ (if applicable); I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought; I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above; I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon. **FULL NAME OF INVENTOR(S)** Date: Wen-Lin Kuo Inventor one U.S.A. Citizen of: Signature: Date: Inventor two \_\_\_\_\_ Daniel Polikoff \_\_\_\_\_ U.S.A. Citizen of: Signature: Date: Inventor three \_\_\_\_\_Daniel Pinkel\_\_\_\_ Citizen of: U.S.A. Signature: Date: Inventor four \_\_\_\_\_ Donna Albertson U.S.A. Citizen of: Signature: Date: Inventor five \_\_\_ Andy Berchuk U.S.A. Citizen of: Signature: Date: Inventor six Citizen of: Signature:

TTC Attorney Docket No. <u>023070-117500US</u>

#### **ASSIGNMENT**

U.C. Case No. <u>2001-355</u>	•
For good and valuable consideration, the rec	eipt of which is hereby acknowledged, ASSIGNOR(S),
1. Daniel Polikoff	2. Wen-Lin Kuo
3. Daniel Pinkel	4. Donna Albertson
5. Andy Berchuk	6. Joe Gray
Corporation, having its statewide adminis	SSIGNEE, The Regents of the University of California, a California strative offices located 1111 Franklin St., 12th Floor, Oakland, CA and legal representatives of the ASSIGNEE all of its right, title and torial possessions and in all foreign countries in and to, any and all vention entitled:
METHODS FOR DIAGNOSING AND MONIT	ORING MALIGNANCIES BY SCREENING GENE COPY NUMBERS
and which is found in (a) U.S. provisional applic	cation filed herewith and listing the above-named persons as inventors
(b) U.S. patent application	on filed herewith and listing the above-named persons as inventors
(c) X U.S. application seria	I no. <u>09/819,148</u> , filed on <u>03/27/2001</u>
(d) U.S. Patent No.:	, issued
Patent to be obtained for said invention b	n country, including the right to claim priority and, in and to, all Letters by the above application or any continuation, division, continuation-in- 1(a) or substitute thereof, and any reissue, reexamination or extension all International Conventions for the Protection of Industrial Property;
ASSIGNOR(S) hereby covenants that no a or entered into which would conflict with t	ssignment, sale, agreement or encumbrance has been or will be made his assignment;
facts and documents relating to said inventage accessible to ASSIGNOR and will testify thereto and will promptly execute and instruments or affidavits required to apply and said Letters Patent and said equivalents. An attorney of record is	SIGNEE will, upon its request, be provided promptly with all pertinent tion and said Letters Patent and legal equivalents as may be known and as to the same in any interference, litigation, or proceeding relating deliver to ASSIGNEE or its legal representative any and all papers of for, obtain, maintain, issue or enforce said application, said inventional lents thereof which may be necessary or desirable to carry out the sauthorized and requested by the execution of this assignment to insertial number of said application when officially known.
AND the <u>ASSIGNOR(S)</u> requests the Com United States and any reissue or extension	missioner of Patents and Trademarks to issue said Letters Patent of the n thereof to the ASSIGNEE, The Regents of the University of California.
executed this	Signature of Inventor(s)
day of, 2001	DANIEL POLIKOFF
day of, 2001	

DANIEL PINKEL

\_\_\_\_ day of \_\_\_\_\_\_, 2001

WEN-LIN KUO

TTC Attorney Docket No. 0203070-117500US
U.C. Case No. 2001-355
Page 2 of Assignment for
Methods for Diagnosing and Monitoring Malignancies by Screening Gene Copy Numbers

day of, 2001	
	DONNA ALBERTSON
day of, 2001	ANDY BERCHUK
day of, 20 <u>01</u>	
,,,	JOE GRAY

SF 1252035 v1



Attorney Docket No.: 02307L 7500US

SF 1252047 v1

Client Ref. No.: UC Case No.: 2001-355

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This declaration is dire	ected to:					
		The attached application	, or			
	$\boxtimes$	Application No. <u>09/819,148</u> , filed on <u>03/27/2001</u> ,				
		as amended on	(if applicable)	);		
I/we believe that I/we for which a patent is	am/are t sought;	the original and first inven	tor(s) of the sub	ject matter which is claimed and		
I/we have reviewed claims, as amended	and und by any ar	derstand the contents of mendment specifically refe	the above-ider erred to above;	ntified application, including the		
known to me/us to be	e materia lable, bet	il to natontability as define	the prior applic	Trademark Office all information 56, including material information ation and the National or PCT ble; and		
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.						
FULL NAME OF INVEN	ITOR(S)					
Inventor one <u>Dar</u> Signature:	niel Poliko	off	Date: Citizen of:	U.S.A.		
		10	Date: Citizen of:	U.S.A.		
Inventor threeD	aniel Pinl	kel				
Inventor fourD	onna Alb	ertson	Date: Citizen of:	U.S.A.		
Inventor fiveAr	ndy Berc	huk	Date: Citizen of:	U.S.A.		
Inventor six <u>Jo</u> Signature:	e Gray		Date: Citizen of:	U.S.A.		